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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-------------------|------------------|--------------------------|-------------------------|------------------|
| 10/038,506 | 11/09/2001 | Michael D. Hooven | HOOV 114 | 6492 |
| 75 | 90 12/30/2003 | | EXAN | MINER |
| Cook, Alex, M | IcFarron, Manzo, | ROLLINS, ROSILAND STACIE | | |
| Cummings & M | lehler, Ltd. | | ARTIBUT | DARRA MA COM |
| Suite 2850 | | | ART UNIT | PAPER NUMBER |
| 200 West Adam | is Street | 3739 . | | |
| Chicago, IL 60606 | | | DATE MAILED: 12/30/2003 | 3 |

Please find below and/or attached an Office communication concerning this application or proceeding.

| 1 | | Application | No. | Applicant(s) | | | | | | |
|---|---|--------------------------------|----------------------|--|--|--|--|--|--|--|
| | Office Action Summany | 10/038,506 | | HOOVEN, MICHAEL D. | | | | | | |
| | Office Action Summary | Examin r | | Art Unit | | | | | | |
| | | Rosiland S R | | 3739 | | | | | | |
| The MAILING DATE of this communication app ars on the cover sheet with the correspondence address Period for Reply | | | | | | | | | | |
| THE N - Extending after S - If the If NO - Failur - Any re | A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | | | | | |
| 1)⊠ | Responsive to communication(s) filed on 01 C | October 2003 | | | | | | | | |
| 2a)⊠ | | is action is no | | | | | | | | |
| 3) | <i>,</i> — | | | osecution as to the merits is | | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims | | | | | | | | | | |
| 4) 🖾 | Claim(s) 1-5 is/are pending in the application. | | | | | | | | | |
| | 4a) Of the above claim(s) is/are withdraw | vn from consi | deration. | | | | | | | |
| 5) | Claim(s) is/are allowed. | | | | | | | | | |
| 6)🖂 | 6)⊠ Claim(s) <u>1-5</u> is/are rejected. | | | | | | | | | |
| 7) | Claim(s) is/are objected to. | | | | | | | | | |
| 8) 🗌 | Claim(s) are subject to restriction and/or | r election requ | uirement. | | | | | | | |
| Applicati | on Papers | | | | | | | | | |
| 9) 🗌 - | The specification is objected to by the Examiner | r. | | | | | | | | |
| 10) | 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | | | | |
| | Applicant may not request that any objection to the | e drawing(s) be | held in abeyance. Se | ee 37 CFR 1.85(a). | | | | | | |
| 11) 🔲 🧻 | The proposed drawing correction filed on | _is: a)∐ app | roved b)⊡ disappro | ved by the Examiner. | | | | | | |
| | If approved, corrected drawings are required in rep | oly to this Office | e action. | | | | | | | |
| 12) 🔲 🗆 | The oath or declaration is objected to by the Exa | aminer. | | | | | | | | |
| Priority u | nder 35 U.S.C. §§ 119 and 120 | | | · | | | | | | |
| 13) | Acknowledgment is made of a claim for foreign | priority unde | r 35 U.S.C. § 119(a |)-(d) or (f). | | | | | | |
| a)[| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | | | | |
| | 1. Certified copies of the priority documents have been received. | | | | | | | | | |
| | 2. Certified copies of the priority documents have been received in Application No | | | | | | | | | |
| | 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | | | |
| | 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | | | | | |
| a) | a) The translation of the foreign language provisional application has been received. 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | | | | | |
| Attachment | | - Priority und | J. 13 J.O.O. 33 120 | | | | | | | |
| 1) Notice 2) Notice | e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449) Paper No(s) <u>12</u> | 4) 5) <u>2, 13,</u> . 6) | F-3 | (PTO-413) Paper No(s) Patent Application (PTO-152) | | | | | | |
| S. Patent and Tr | | tion Summary | | Part of Paner No. 16 | | | | | | |

DETAILED ACTION

Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burnside et al. '281 further in view of Malecki et al. (US 5855590). In Figures 78-82 Burnside et al. disclose a device for clamping and ablating cardiac tissue as claimed by Applicant. In col. 40 lines 29-33 Burnside et al. disclose a bipolar embodiment and in col 38 lines 64-67 Burnside et al. speak to the insulating features of the device. Regarding claims 2 and 4 Burnside et al. inherently meet the limitation of the claims since, the distance between the clamping members when clamped is relative to the thickness of the tissue being clamped. Burnside et al. teach all of the limitations of the claim except portions of the jaws-being parallel through a range of tissue clamping spacing. Malecki et al. disclose a similar clamping device and teach that it is old and well known in the art to provide a clamping device such that the jaws are parallel through a range of tissue clamping spacing so that shear forces are not applied to the body structure thereby minimizing trauma to the body structure.

Conclusion

3. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rosiland S Rollins whose telephone number is 703/3082711. The examiner can normally be reached on Mon.-Fri. 9:00 AM - 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda C. Dvorak can be reached on 703/3080994. The fax phone numbers for the organization where this application or proceeding is assigned are 703/3080758 for regular communications and 703/3080758 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703/3080858.

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Rosiland Rallens
Rosiland S Rollins
Primary Examiner
Art Unit 3739

RR December 23, 2003